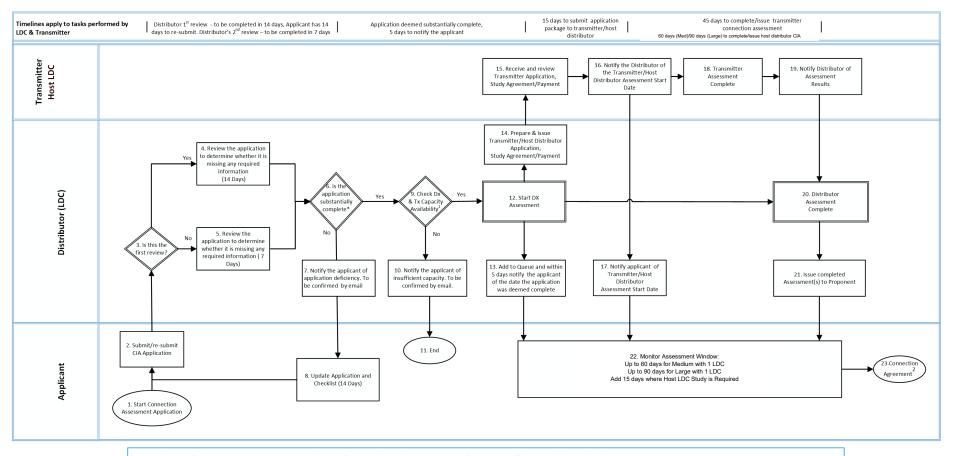
Connection Impact Assessment Process for Mid-sized or Large Embedded Generation Facility



*An application for connection assessment is substantially complete when it contains information sufficient to allow a distributor to carry out its connection assessment activities

- 1. Check DX & TX Capacity gate is a secondary check to address system changes between the Pre-consultation phase and the CIA application.
- 2. After receiving capacity allocation CCA signed within (i) 17 months for large embedded generation facility if transmission upgrades are required, (ii) 9 months for large embedded generation facility if transmission system impact assessment required (iii) 6 months for all other embedded generation facilities (reference DSC 6.2.4.1)

- Step 1. The applicant initiates the CIA process and gathers the current CIA application form and distributor's application requirements from the distributor.
- Step 2. The applicant submits the completed CIA Application package, including completed application form, payment for required studies, attachments, and application checklist.
- Step 3. The distributor determines if this is the first submission or a revised application submission.
- Step 4. For initial submissions, the distributor reviews the application for completeness within 14 calendar days.
- Step 5. For revised application submissions, the distributor reviews the application for completeness within 7 calendar days.
- Step 6. For the completeness check outlined in Steps 4 and Steps 5 above, the distributor will review the application to determine if there is sufficient information provided by the applicant to process the submission. Once the distributor determines that the submission provides the necessary information to commence a CIA study, the application is deemed substantially completed.
- Step 7. For submissions that are not substantially complete, the distributor will notify the applicant of the application deficiencies via email or letter if the applicant's email is not provided. The deficiency notification shall identify any errors and omissions in the application that would prevent the distributor from proceeding with the CIA. The notification shall outline the available remedies required to have the application deemed substantially complete.
- Step 8. On receipt of a deficiency notification, the applicant should review and revise the application to address the deficiencies and resubmit the application. The process allows 14 days for the applicant to resubmit a revised application. If the applicant does not return the revised application within 14 days, the distributor may remove the application from the processing queue. If the application is removed from the queue, it may be treated as a new application once it is resubmitted.
- Step 9. For submissions that are deemed substantially complete, the distributor reconfirms⁶ transmission and distribution capacity availability. Capacity is not reserved for the project until the CIA has been completed.
- Step 10. The distributor notifies the applicant via email if there is no capacity availability to support the connection.
- Step 11. If there is no capacity available, the process concludes.
- Step 12. If capacity is confirmed to be available, the distributor proceeds with the assessment.
- Step 13. The distributor adds the application to the processing queue and within 5 days notifies the DER applicant of the date the application was deemed substantially complete. The date the submission is deemed substantially complete starts the timed window for the distributor to return the completed CIA.

⁶ This is a secondary check to address the possibility of system changes between the Preliminary Consultation phase and the CIA application.

- Step 14. The distributor prepares and issues an application to the transmitter for a CIA, a Study Agreement, and payment within 15 days of starting the assessment.
- Step 15. The transmitter receives the application from the distributor along with the Study Agreement and payment. The Transmitter has 15 days to review the submission and notify the distributor
- Step 16. The transmitter notifies the distributor of the Transmitter Assessment start date
- Step 17. Distributor notifies the applicant of the Transmitter Assessment start date.
- Step 18. The transmitter executes and completes the assessment within 45 days. Where possible the transmitter completes this study concurrently with the distributor Assessment.
- Step 19. The transmitter notifies the distributor on the results of the assessment
- Step 20. The distributor also completes its CIA
- Step 21. The distributor will provide the completed CIA to the applicant within:
 - 60 days of the receipt of the substantially complete application in the case of a proposal to connect a mid-sized DER; and
 - ii. 90 days of the receipt of the substantially complete application in the case of a large DER.

The applicant can use the assessment start date notification to monitor the distributor's and transmitter's assessment progress against the applicable 60-day or 90-day assessment window. The assessment period is increased by 15 days where a host distributor assessment is also required.

Step 22. The process moves on to the connection agreement phase.